FIRST FINANCIAL
EQUITY CORPORATION
Private Client Group

Dieter G. Bollmann, Vice President Investments

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Securities Licenses in AZ, CA, LA, OR, and WA

Life & Health Insurance Licenses in AZ, CA

Dieter, a planning-based Registered Investment Advisor, Life and Long-term Care Insurance Agent, and Registered Representative, offers customized full-service financial solutions to individuals, family businesses, and non-profits by referral. He treats his clients with greatest respect to their ambitions and needs, however diverse and complex they might be, and seeks to further their financial education. Clients will be rewarded with a service aimed at exceeding expectations. Premier clients will have the chance to serve as members on his client advisory council where the service goals are established and possible improvements discussed and initiated. Admission to the advisory council is by referral only.

Dieter has a diverse business background educationally and professionally. He is born, raised, and educated in Germany and holds a Masters in Business Economics from the University of Tuebingen. In the U.S.A. he has been trained in international finance at San Francisco State University. Dieter holds series 7, 66, as well as Life & Health insurance licenses. He is a life member of the Referral Institute of Arizona since its inception and a member of the Scottsdale Chamber of Commerce and the Friedrich-List Foundation. He holds American and German citizenships.

His community involvement currently includes leadership in the Arizona Center for Germanic Cultures, Inc. and AzBiznetz, a German speaking business leaders group, his active support in his daughter’s passion in musical theater through CYT Phoenix, and his passive support of the Ambassador Ball and its related charities, the Thunderbird University and W.P. Cary School of Business scholarships and SOS Children’s Villages International. It has previously included the active support of the Consular Corps of Arizona and the Leukemia & Lymphoma Society of Arizona. Dieter is an avid horse rider and enjoys active individual sports, dancing, and the arts.

With more than 16 years experience running small businesses in the U.S.A., and 10 years in financial consulting, he offers his clients a broad understanding of investing and financial management, nationally and internationally. Through his referral network of business consultants & coaches, tax & legal advisors, and his financial planning service he is able to offer his clients one-stop shopping for investment strategies for their business, personal, and trust assets and for structuring an income stream that meets their lifestyle.

Dieter actively supports exit planning strategies in cooperation with Exit Planning Advisors, Inc. of Tempe, Arizona with financial planning, deferred compensation solutions, and buy-sell as well as key employee insurance. He advises qualified and non-qualified plans for sole proprietors to C-Corporations in cooperation with plan sponsors and administrators. He advises executive clients with structured stock option selling agreements (10b5-1) and streamlined execution in cooperation with their administration.

For clients’ life and long-term care insurance needs Dieter advises in cooperation with our Insurance Division and selected high rated global insurance companies such as John Hancock, Allianz, and Mutual of Omaha. Dieter provides trust management and services in cooperation with legal & tax advisors in his broad referral network and the Arizona Community Foundation.

Dieter’s investment philosophy is based on a disciplined risk management approach which rests on regular contributions into cost- and tax-efficient diversified investment vehicles. For the active investor he employs Rydex and Virtual Portfolio Management (VPM) momentum models selected for maximizing risk-adjusted returns, and for the passive investor he utilizes the globally diversified value oriented efficient-market index strategy of the Dimensional Fund Advisors (DFA). For the small investor he primarily draws from American, PIMCO/Allianz, ING, and Calamos Funds resources, for the socially conscious investor from Calvert and DWS (Deutsche Bank Group). For conservative investors Dieter devices structured ladders of CDARS, global bonds, CDs, and money markets.

Qualified Real Estate and Mortgage Investors are consulted about time-tested strategies from providers such as Wells, KBS, and INLAND REITs. For clients who wish to engage in a 1031 Tenant-in-Common real estate exchange, Dieter engages the rich expertise of our Real Estate Division and guides clients from concept to completion. Dieter helps clients who wish to hold their individual real estate holdings in a tax-qualified account with self-directed IRAs.

Please call (480) 778-2085 or toll-free (800) 687-3800 for a free and educational consultation and mention who referred you.

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